BAKER BOTTS LLP

THE WARNER
1299 PENNSYLVANIA AVE., NW
WASHINGTON, DC
20004-2400
202 639 7700
FAX 202 639.7890

AUSTIN
BAKU
DALLAS
HOUSTON
LONDON
MOSCOW
NEW YORK
WASHINGTON

February 9, 2001



UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket Number: 018842.1162

First Named Inventor: Toru YAMAGUCHI et al.

Title: Heat Exchangers And Fins For Heat Exchangers And Methods For Manufacturing T

Same

TO: BOX PATENT APPLICATION

Commissioner for Patents Washington, D.C. 20231

Sir:

Attached are the following for filing with the U.S. Patent and Trademark Office:

2. Specification - Total Pages: 16 (Including Abstract)

		CLA	IMS AS FILE	D		
	Claims Filed	Basic Fee Claims	Extra	Rate		
				Large Entity	Small Entity	Amount
Total Claims	12	20	0	\$ 18.00	\$ 9.00	\$ 0.00
Independent Claims	4	3	0	\$ 80.00	\$ 40.00	\$ 80.00
First Presentation of M	\$ 270.00	\$ 135.00	\$ 0.00			
BASIC FEE					\$ 355.00	\$ 710.00
TOTAL FILING FEE					\$ 790.00	

3.	X	Drawi	ings	- Total Sheets: <u>6</u> (Fig(s). <u>1-13</u>	
4.	Oath o	or Decla	aration	- Total Pages: 2	
	a.	X		v executed (original or copy) unexecuted)	
	b.		Copy from a prior application (for continuation/divisional with Box 17 completed)		
		i.		DELETION OF INVENTOR(s): Signed statement attached deleting inventor(s) named in prior application.	

Commissioner for Patents February 9, 2001 Page 2

5.		Incorporation By Reference (useable if Box 4b is marked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.						
6.		Microfiche Computer Program (Appendix)						
7.		Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)						
		 a.						
8.		Assignment and Assignment Recordation Form						
9.		37 C.F.R. 3.73(b) Statement □ Power of Attorney						
10.		English Translation Document (if applicable)						
11.	X	Information Disclosure Statement with PTO-1449 and References						
	\boxtimes	Copies of Information Disclosure Statement Citations						
12.		Preliminary Amendment						
13.	X	Return Receipt Postcard						
14.		Small Entity Statement(s) ☐ Independent Inventor ☐ Small Business Concern ☐ Non-Profit Organization						
		Statement Filed in Prior Application; Status Still Proper and Desired						
15.	X	Foreign Priority is Claimed as Follows: Japanese Application No. P2000-31349, filed on February 9, 2000						
	X	If Foreign Priority is Claimed, Certified Copy of the Above Priority Document(s) is Submitted Herewith						
16.	X	Other: Application Data Sheet						
17.		Continuation						
		Complete Application Based on Provisional Application No.:						

Commissioner for Patents February 9, 2001 Page 3

filed	

- 18. A new power of attorney or authorization of agent (PTO/SB/81) is as follows:
 - The power of attorney is to: Jerry W. Mills, Reg. No. 23,005; Scott F. Partridge, Reg. No. 28,142; James B. Arpin, Reg. No. 33,470; Rodger L. Tate, Reg. No. 27,399; James Remenick, Reg. No. 36,802; Laurence H. Posorske, Reg. No. 34,698; Floyd B. Chapman, Reg. No. 40,555; Steven P. Klocinski, Reg. No. 39,521; Eric Sinn, Reg. No. 40,177; Stacy B. Margolies, Reg. No. 39,760 and Floyd B. Chapman, Reg. No. 40,555.
 - Please remove as power of attorney: Rodger L. Tate, Reg. No. 27,399; James Remenick, Reg. No. 36,802; Laurence H. Posorske, Reg. No. 34,698; Steven P. Klocinski, Reg. No. 39,521; Eric Sinn, Reg. No. 40,177; Stacy B. Margolies, Reg. No. 39,760 and Floyd B. Chapman, Reg. No. 40,555.
 - Please add as power of attorney: Robert Neuner, Reg. No. 24,316; Jay B. Johnson, Reg. No. 38,193; Robert L. Troike, Reg. No. 24,183; Jay M. Cantor, Reg. No. 19,906; Lori D. Stiffler, Reg. No. 36,939; and Richard G. Berkley, Reg. No. 25,465.
- 19. Please address all correspondence to:

Attention: James B. Arpin

Baker Botts L.L.P. The Warner, Suite 1300

1299 Pennsylvania Avenue, N.W. Washington, D.C. 20004-2400

- A check in the amount of \$ 790.00 is enclosed. In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit any such variance to Deposit Account No. 02-0375.
 - The Commissioner is hereby authorized to charge any variance between the amount enclosed and the Patent Office charges to peposit Account No. 02-0375.

Respectfully submitted

Iames B. Aspin

Registration No. 33,470

for Scott F. Partridge Registration No. 28,142

Enclosures SFP/JBA/dh